

COUNTY OF LOS ANGELES BOARD OF SUPERVISORS

KENNETH HAHN HALL OF ADMINISTRATION 500 WEST TEMPLE STREET, ROOM 383 LOS ANGELES, CALIFORNIA 90012 (213) 974-1411 • FAX (213) 620-0636 MEMBERS OF THE BOARD

GLORIA MOLINA

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DON KNABE

MICHAEL D. ANTONOVICH

March 13, 2012

The Honorable Board of Supervisors County of Los Angeles 383 Kenneth Hahn Hall of Administration 500 West Temple Street Los Angeles, California 90012

Dear Supervisors:

ADOPTED

BOARD OF SUPERVISORS COUNTY OF LOS ANGELES

8 March 13, 2012

SACHI A. HAMAI EXECUTIVE OFFICER

CONFLICT OF INTERESTS AND DISCLOSURE CODE (ALL DISTRICTS) (3-VOTES)

SUBJECT

Adoption of Conflict of Interest and Disclosure Codes.

IT IS RECOMMENDED THAT YOUR BOARD:

Approve the Conflict of Interest and Disclosure Codes for the Green Dot Public Schools, The School of Arts and Enterprise, Antelope Valley Transit Authority, Los Angeles County Department of Public Works, and the Puente Hills Landfill Native Habitat Preservation Authority, to be effective the day following your Board's approval.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION

The recommendations are necessary in order to comply with the provisions of the Political Reform Act of 1974. In accordance with the Act, the Board of Supervisors must approve a Conflict of Interest and Disclosure Code for each local government agency for which the Board of Supervisors is the code reviewing body.

Pursuant to Government Code Section 87300, every agency must adopt and promulgate a Conflict of Interest and Disclosure Code that, as the code reviewing body for Los Angeles County, the Board of Supervisors must approve before the code can then take effect. In addition, pursuant to Government Code Section 87306, agencies are required to amend their Conflict of Interest Codes when such amendments are necessitated by changed circumstances.

The Honorable Board of Supervisors 3/13/2012 Page 2

<u>Implementation of Strategic Plan Goals</u>

Approval of the attached codes broadly supports the County Strategic Goal of Operational Effectiveness.

The proposed Conflict of Interest and Disclosure Codes have been thoroughly reviewed and approved by the code review staff in accordance with the procedures established by your Board.

FISCAL IMPACT/FINANCING

Local governmental agencies must have a Conflict of Interest and Disclosure Code in which designated employees are required to disclose financial interests at a level appropriate to their decision-making authority. These employees make or participate in the making of decisions that may have a foreseeable material effect on a financial interest. Adoption of a Conflict of Interest and Disclosure Code deters potential conflicts of interest, thereby averting misuse of public funds.

The recommended action has no effect on budget revenues and expenditures.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

The code review staff recommends that your Board approve the Conflict of Interest and Disclosure Codes for the agencies listed below, pursuant to Government Code Section 87300.

NEW AGENCIES:

(Green Dot Public Schools)

- The Agency will be adopting by reference Regulation 18730 (2 California Code of Regulations, Sections 18730) that contains the terms of their Conflict of Interest Code. The Agency will also be adopting the County's Model Disclosure Categories and adding one specialized category for disclosure related to Personnel/Human Resources issues.
- The Agency has determined that the following positions are designated code filing positions:
 Board of Directors; President & CEO; Chief Academic Officer; Chief Financial Officer; Chief
 Operation Officer; Director of Real Estate Development; Vice President of Human Capital; After
 School Program Coordinator; Director of Security; Vice President of Information Technology; Senior
 Manager of School Services; Principals; and Consultants.

(The School of Arts and Enterprise)

- The Agency will be adopting by reference Regulation 18730 (2 California Code of Regulations, Sections 18730) that contains the terms of their Conflict of Interest Code. The Agency will also be adopting the County's Model Disclosure Categories and adding one specialized category for disclosure related to Personnel/Human Resources issues.
- The Agency has determined that the following positions are designated code filling positions: Members of the Governing Board; Executive Director; Operations Manager of Charter School; and Consultant.

The Honorable Board of Supervisors 3/13/2012 Page 3

SUBSTANTIVE CHANGES:

(Antelope Valley Transit Authority)

- Deletes Senior Administrative Analyst; Administrative Service Manager; Chief Finance Officer; and Analyst I & II Contract Compliance due to reorganization.
- Changes the titles of three (3) positions.
- Adds Director of Finance and Administration; Director of Operations and Maintenance; Senior IT Analyst; Contract Analyst; and Planner to the code.

(Department of Public Works)

• Revises the code by adding, deleting and changing the title(s) of numerous positions in the code due to reorganizational changes since the last code revision.

(Puente Hills Landfill Native Habitat Preservation Authority)

• The Authority has changed its name from Puente Hills Landfill Native Habitat Preservation Authority to Puente Hills Habitat Preservation Authority. All references to the former name will now reflect the new name.

IMPACT ON CURRENT SERVICES (OR PROJECTS)

Approving the attached Conflict of Interest and Disclosure Codes for these agencies will further the purposes of the Political Reform Act of 1974 and will require the individuals designated in each agency's code to disclose appropriate economic interests in their Statements of Economic Interests.

Respectfully submitted,

SACHI A. HAMAI

Executive Officer, Board of Supervisors

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SAH:DG:kw

Enclosures

c: Chief Executive Officer County Counsel

Conflict of Interest Code Of the

GREEN DOT PUBLIC SCHOOLS

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, is hereby incorporated into the conflict of interest code of Green Dot Public Schools (the "Agency") by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of the agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the Agency head, or his or her designee. The Agency shall make and retain a copy of all statements filed by its Chief Executive Officer and members of its Board of Directors and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The Agency shall retain the originals of statements for all other Designated Positions named in the Agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

GREEN DOT PUBLIC SCHOOLS EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell service and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

CATEGORY 5

Persons in this category shall disclose all income from any Green Dot Public Schools employee, any representative or association of such employee; and business positions or income from any entity owned or controlled by such employee's spouse or other financial dependent.

CATEGORY 6

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

GREEN DOT PUBLIC SCHOOLS EXHIBIT "B"

Designated Positions	Disclosure Categories
Board of Directors	1, 2, 3
President & CEO	1, 2, 3
Chief Academic Officer	1, 2, 3
Chief Financial Officer	1, 2, 3
Chief Operation Officer	1, 2, 3
Director of Real Estate Development	1, 4
Vice President of Human Capital	4, 5
After School Program Coordinator	4
Director of Security	4
Vice President of Information Technology	4
Senior Manager of School Services	4
Principals	4
Consultants	6

EFFECTIVE:

Conflict of Interest Code of the

THE SCHOOL OF ARTS AND ENTERPRISE

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of the Charter School.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the Executive Director or his or her designee. The agency shall make and retain a copy of all statements filed by its members of the Governing Board and its Executive Director, and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The Charter School shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

THE SCHOOL OF ARTS AND ENTERPRISE

EXHIBIT "A"

CATEGORY 1:

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2:

Persons in this category shall disclose all investments and business positions.

CATEGORY 3:

Persons in this category shall disclose all income (including gifts and loans) and business positions.

CATEGORY 4:

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell service and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

CATEGORY 5:

Persons in this category shall disclose all income from any charter school employee, any representative or association of any such employee; and business, positions, investments, or income from any entity owned or controlled by any such employee or his/her spouse or other financial dependent.

CATEGORY 6:

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

THE SCHOOL OF ARTS AND ENTERPRISE

EXHIBIT "B"

<u>Designated Positions</u>	Disclosure Categories
Members of the Governing Board	1, 2, 3
Executive Director	1, 2, 3
Operations Manager of Charter School	1, 4, 5
Consultant	6

EFFECTIVE:

Conflict of Interest Code of the

ANTELOPE VALLEY TRANSIT AUTHORITY

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, is hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Governing Board Members, Alternate Board Members and Executive Director, and forward the originals of such statements to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

ANTELOPE VALLEY TRANSIT AUTHORITY

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell service and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned to this disclosure category.

CATEGORY 5

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

ANTELOPE VALLEY TRANSIT AUTHORITY

EXHIBIT "B"

<u>Designated Positions</u>	Disclosure Categories
Governing Board Members	1, 2, 3
Alternate Board Members	1, 2, 3
Executive Director	1, 2, 3
General Counsel	1, 2, 3
Director of Finance & Administration	1, 2, 3
Director of Operations & Maintenance	1, 2, 3
Fleet & Facilities Manager	4
Grants Administrator	4
Senior IT Analyst	4
Contracts Analyst	4
Planner	4
Public Information Officer/Marketing Manager	4
Consultants	5

EFFECTIVE DATE:

Conflict of Interest Code of the

PUBLIC WORKS, DEPARTMENT OF

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Director and forward the original of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

(6/02) (Rev.)

EXHIBIT "A"

CATEGORY 1

Persons in this category shall disclose all interest in real property within the jurisdiction. Real property shall be deemed to be within the jurisdiction if the property or any part of it is located within or not more than two miles outside the boundaries of the jurisdiction or within two miles of any land owned or used by the agency.

Persons are not required to disclose property used primarily as their residence or for personal recreational purposes.

CATEGORY 2

Persons in this category shall disclose all investments and business positions.

CATEGORY 3

Persons in this category shall disclose all income and business positions.

CATEGORY 4

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this disclosure category.

CATEGORY 5

Persons in this category shall disclose all business positions, investments in, or income (including gifts and loans) received from:

- (A) Business entities that manufacture, provide or sell services and/or supplies of a type utilized by the agency and associated with the job assignment of designated positions assigned this category; and
- (B) Business entities that are subject to inspection or enforcement action by the designated positions in their capacity as employees of the Department of Public Works under provisions of the Los Angeles County Building, Mechanical, Plumbing, Electrical, or Zoning Code Enforcement, or subject to the Clean Water Act, California Environmental Quality Act, California Integrated Waste Management Act, National Environmental Pollution Act, California Health and Safety Code, Titles 11, 12 & 20 of the Los Angeles County Code, or subject to plan checks or approvals for grading, drainage, geological studies, industrial

EXHIBIT "A" (CONTINUED)

waste, underground tank storage of hazardous substances, land use permit compliance, refuse collection & waste diversion services, right-of-way acquisition or appraisal by the County of Los Angeles or any special districts therein.

Depending upon the specific job assignment of the designated position, these business entities shall include but are not limited to:

- (1) Businesses involved in land speculation or development for purposes of subdivision, grading, residential or commercial/industrial development, land filling, mineral exploitation or mining;
- (2) Any engineering, architectural or other firm preparing plans and reports for construction;
- (3) Any firm of consulting engineers, geologists or similar specialties submitting reports for approval by the Director of Public Works;
- (4) Any testing firms or laboratories;
- (5) Any supplier of building systems, materials and services;
- (6) Businesses entities involved in the collection, processing, transportation, and/or disposal of solid and/or hazardous waste;
- (7) Businesses entities involved in the construction, installation, modification, or removal of underground hazardous materials storage tanks or industrial waste control or disposal facilities;
- (8) Any firm of engineers, biologists, environmental consultants/planners, public relations consultants, landscape architects, land use planners, ecologist or similar specialties submitting studies, reports or plans for approval or providing services to the Department of Public Works.

CATEGORY 6

Persons in this category shall disclose all income from any Department of Public Works employee, any representative or association of such employee, and business positions or income from any entity owned or controlled by such employee or his/her spouse or other financial dependent.

PUBLIC WORKS, DEPARTMENT OF EXHIBIT "A" (CONTINUED)

CATEGORY 7

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interests disclosing reportable interest in the categories assigned to that designated position.

In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendation or counsel to the agency which could affect financial interest shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

EXHIBIT "B"

Administration

<u>Title</u> <u>Category(ies)</u>

-	-
Director of Public Works	1, 2, 3
Assistant Director, Public Works	1, 2, 3
Deputy Director, Public Works	1, 2, 3
Management Specialist II, Public Works	1, 2, 3
Departmental Public Affairs Manager	4, 5
Consultant	7

Architectural Engineering Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	4, 5
Senior Civil Engineer (Contracts Section)	2, 3
Senior Civil Engineer (Design and Review Section)	4, 5
Supervising Architect II	5
Electrical Engineer	5
Division Manager, Contracts	2,3
Contract Administrator, Senior Contract Administrator	2, 3
Management Analyst	2,3
Administrative Services Manager I, II, or III	2,3
Administrative Assistant I, II, or III	2, 3
Senior Capital Projects Manager	5
Senior Electrical Engineer	4, 5
Supervising Landscape Architect II	4, 5
Senior Mechanical Engineer	4, 5
Structural Engineer	4, 5
Head Building Inspector	4, 5
Building Inspector IV	5
Building Inspector III	5

EXHIBIT "B"

Building Inspector II	5
Building Inspector I	5
Management Specialist II	4

Aviation Division

<u>Title</u> <u>Category(ies)</u>

Chief, Aviation Division, Public Works	1, 2, 3
Assistant Chief, Aviation Division	1, 2, 3
Airport Project Coordinator	2, 3

Building and Safety Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Principal Engineer	1, 5
Principal Engineer	1, 5
Senior Electrical Engineer	1, 5
Senior Mechanical Engineer	1, 5
Senior Structural Engineer	1, 5
Senior Civil Engineer	1, 5
Senior Civil Engineer	1, 5
Senior Civil Engineer	1, 2, 3
District Building & Safety Engineering Associate	1, 2, 3
Building Rehabilitation Supervisor	1, 2, 3
Supervising Building & Safety Engineering Specialist	1, 2, 3
Principal Engineer	1, 5
Civil Engineering Assistant	1, 5
Senior Civil Engineering Assistant	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineer	1, 5
Electrical Engineer	1, 5
Associate Electrical Engineer	1, 5
Principal Electrical Engineering Assistant	1, 5

EXHIBIT "B"

Senior Electrical Engineering Assistant	1, 5
Mechanical Engineer	1, 5
Associate Mechanical Engineer	1, 5
Principal Mechanical Engineering Assistant	1, 5
Senior Mechanical Engineering Assistant	1, 5
Mechanical Engineering Assistant	1, 5
Associate Civil Engineer	1, 5
Senior Building Engineering Inspector	1, 5
Building Engineering Inspector	1, 5
Building Permit Technician I and II	1, 5

Chief Information Office Branch (CIO)

(CIO now includes Technology Planning & Governance, ITD- Systems & Applications, Fleet Management Group, Business Support Group and ITD-Operations & Infrastructure)

Technology Planning & Governance (formerly CIO)

<u>Title</u> <u>Category(ies)</u>

Chief Information Officer, Public Works	2, 3
IT Systems Specialist I	4
IT Manager III	4
Management Specialist I	4
IT Specialist I	4
Departmental Information Security Officer II	4

Information Technology Division-Systems & Applications

IT Manager III	4
IT Manager II	4
Senior Civil Engineer	4
Information Technology Specialist I	4
Information Technology Specialist II	4
Systems Development Specialist	4

EXHIBIT "B"

Business Support Group

<u>Title</u>	<u>Category(ies)</u>
Senior Civil Engineer	4
Associate Civil Engineer	4
- Fleet Management Group	-
<u>Title</u>	<u>Category(ies)</u>
Chief, Fleet Management, PW	4
Assistant Fleet Manager, PW	4
Transit Program Specialist	4
Shop Superintendent	4
Power Equipment, Technician Supervisor	4
Power Equipment, Technician Working Supervisor	4
Body & Fender Mechanic, Working Supervisor	4
Power Equipment Specification Writer	4
Automotive Equipment Coordinator	4
Automotive Equipment Inspector	4
Transportation and Services Supervisor	4
Waste Control Engineering Inspector	4
IT Operations & Infrastructure	
<u>Title</u>	<u>Category(ies)</u>
IT Manager III	4
Electronic Communications Technician	4
Information Systems Analyst I	4
Information Sytems Analyst II	4

Information Technology Specialist I

EXHIBIT "B"

Information Technology Specialist II	4
IT Tech Support Analyst I	4
IT Tech Support Analyst II	4
Senior IT Tech Support Analyst	4
Senior Telecomm Systems Engineer	4
Senior Information Systems Analyst	4

Construction Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 5
Civil Engineer	5
Associate Civil Engineer	5
Supervisor, Contract Construction	5
Head Construction Inspector	5
Senior Construction Inspector	5
Construction Inspector	5
Principal Civil Engineering Assistant	5
Senior Civil Engineering Assistant	5

Design Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Senior Mechanical Engineer	4, 5
Civil Engineer	4, 5
Associate Civil Engineer	4, 5
Associate Mechanical Engineer	4, 5
Mechanical Engineer	4, 5

EXHIBIT "B"

Disaster Services Group

<u>Title</u> <u>Category(ies)</u>

Disaster Services Coordinator	4
Disaster Services Analyst	4
Senior Disaster Services Analyst	4

Environmental Programs Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Civil Engineer	1, 5
Civil Engineer	1, 5
Chief, Industrial Waste Planning and Control	1, 5
Supervising Waste Control Engineer I & II	1, 5
Senior Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineering Assistant	1, 5
Senior Waste Control Engineering Inspector (Industrial Waste/Underground Tank	
Section)	5

Financial Management Branch

(Financial Management Branch now includes Administrative Services Division)

Assistant Deputy Director, Fina	ance Public Works	2.3
13313tant Deputy Director, 1 mg	mee, I done works	2, 3

EXHIBIT "B"

Chief, Administrative Operations, Public Works	4
Chief Adminstrative Operations, Public Works	4
Chief, Budget/Fund Management	4
Assistant Chief, Fiscal Division, PW	4

Administrative Services Division

<u>Title</u> <u>Category(ies)</u>

Chief, Administrative Operations, Public Works	2, 3
Administrative Services Manager III	2, 3
Administrative Services Manager I - II (Contracting Services Section)	2, 3, 4
Supervising Administrative Assistant III	2,3
Administrative Assistant III (Contracting Services Section)	2, 3, 4
Records Manager, Public Works	4
Management Specialist I (Contract and Living Wage Monitoring Section)	2, 3, 4
Administrative Assistant III (Contracting and Monitoring Section)	2, 3, 4
Staff Assistant II (Contracting Services Section)	2, 3, 4
Materials Manager, Public Works	2, 3
Staff Assistant I	2, 3
Supervisor, Materials and Supply	4
Management Specialist I (Information Management)	4
Staff Assistant II (Information Management)	4

Flood Maintenance Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer / Area Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Civil Engineer	4, 5
Flood Control Construction Superintendent	4, 5
Flood Control Construction Supervisor	4, 5

EXHIBIT "B"

Associate Civil Engineer (Field Offices)	4, 5
Associate Civil Engineer (HQ - Office)	4, 5

Geotechnical and Materials Engineering Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 5
Supervising Engineering Geologist IV	1, 5
Senior Civil Engineer	1, 5
Supervising Engineering Geologist III	1, 5
Civil Engineer	1, 5
Supervising Engineering Geologist II	1, 5
Engineering Geologist (I, II, III)	1, 5
Associate Civil Engineer	1, 5
Supervising Civil Engineering Assistant	1, 5
Principal Engineering Geologist Assistant	1, 5
Senior Engineering Geologist Assistant	1, 5
Civil Engineering Assistant	1, 5
Engineering Geologist Assistant	1, 5
Supervisor, Contract Construction	1, 5
Senior Construction Inspector	1, 5
Supervising Engineering Testing Technician II	1, 5
Supervising Engineering Testing Technician I	1, 5
Senior Civil Engineering Assistant	1, 5

Human Resources Division

Departmental Human Resources Manager III	4, 6
Adminstrative Services Manager III (EHS Manager)	4, 6
Safety Officer	4, 6
Training Officer	4, 6
Administrative Services Manager III	4, 6
Head, Department Civil Service Representative (Head Advocate)	4,6
Department Civil Service Representative (Advocate)	4, 6

EXHIBIT "B"

Internal Audit Group

<u>Title</u> <u>Category(ies)</u>

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ı	Head Compliance Officer, Public Works	. 6

Land Development Division

(Now also includes Graffiti Abatement Section)

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director	1, 2, 3
Principal Engineer	1, 5
Senior Civil Engineer (All Sections)	1, 5
Civil Engineering Assistant	1, 5
Senior Civil Engineering Assistant	1, 5
Principal Civil Engineering Assistant	1, 5
Civil Engineer	1, 5
Associate Civil Engineer	1, 5
Building Permit Technician I	1, 5
Supervising Cadastral Engineer II	1, 5
Supervising Cadastral Engineer I	1, 5
Principal Survey Mapping Technician	1, 5
Senior Survey Mapping Technician	1, 5
Senior Civil Engineering Technician	1, 5
Supervising Engineering Assistant	1, 5
Management Specialist I or II (Graffiti Abatement)	5

Operational Services Division

Assistant Deputy Director	1 2 3
Assistant Deputy Director	1, 2, 3

EXHIBIT "B"

Assistant Chief, Operational Services, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Road Maintenance Superintendent	4, 5
Chief Electrician Supervisor	4, 5
Electrician Supervisor	4, 5
Powerline Working Supervisor	4, 5
Head, Maintenance and Repair Services	4, 5
Refrigeration Mechanic Working Supervisor	4, 5
Welder Fitter Supervisor	4, 5
Electrician Working Supervisor	4, 5
Carpenter Working Supervisor	4, 5
Road Maintenance Supervisor	4, 5
Painter Supervisor	4, 5
Senior Plumber	4, 5
Electrician Supervisor	4, 5
Electro-Mechanic Working Supervisor	4, 5
Electric Engineer	4, 5
Associate Civil Engineer	4, 5
Civil Engineer	4, 5
Facility Manager, Public Works	4
Head, Building Maintenance, Public Works	4
Assistant Head, Maintenance and Repair Services, Road	4
Chief, Administrative Operations, PW	4
Administrative Assistant III	4

Programs Development Division

Assistant Deputy Director	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Administrative Manager XIII	1, 2, 3

EXHIBIT "B"

Project Management Division I

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Facilities Project Manager	2, 3
Senior Capital Projects Manager, Public Works	2, 3
Capital Projects Manager, Public Works	2, 3
Capital Projects Management Associate, Public Works	2, 3
Facilities Project Manager II	2, 3
Senior Civil Engineer	2, 3
Associate Civil Engineer	2, 3
Senior Civil Engineering Assistant	2, 3
Civil Engineering Assistant	2, 3
Administrative Assistant II, III	2, 3
Civil Engineer	2, 3
Consultant Project Managers	2, 3
Principal Civil Engineer Assistant	2, 3
Capital Projects Management Assistant, Public Works	2, 3
Principal Engineer	2, 3

Project Management Division II

Assistant Deputy Director, Public Works	1, 2, 3
Capital Projects Program Manager, Public Works	2, 3
Principal Facilities Project Manager	2, 3
Senior Capital Projects Manager, Public Works	2, 3
Capital Projects Manager, Public Works	2, 3
Capital Projects Management Associate, Public Works	2, 3
Senior Civil Engineer	2, 3
Associate Civil Engineer	2, 3
Facilities Project Management Associate	2, 3
Architectural Associate	2, 3
Senior Civil Engineering Assistant	2, 3

EXHIBIT "B"

Civil Engineering Assistant	2, 3
Management Specialist I	2, 3
Management Specialist II	2, 3
Engineering Administrator XIII, ISD	2, 3
Management Specialst I	2, 3
Captial Projects Management Assistant, Public Works	2, 3
Principal Civil Engineering Assistant	2, 3
Senior Civil Engineer Assistant	2, 3
Civil Engineer	2, 3

Public Relations Group

<u>Title</u> <u>Category(ies)</u>

Management Specialist I, II	4
Program Manager I, II	4, 5
Administrative Assistant I, II, III	4, 5
Video Production Specialist	4
Head, Graphic Artist	4
Public Information Officer I	4

Road Maintenance Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	4, 5
Associate Civil Engineer	4, 5
Assistant District Engineer	4, 5
Road Maintenance Superintendent	4, 5
Road Maintenance Supervisor	4, 5
District Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Supervising Cadastral Engineer III	1, 4

EXHIBIT "B"

Sewer Maintenance Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Electro-Mechanic Supervisor	4
Electro-Mechanic Working Supervisor	4
Electro-Mechanic	4
Regional Sewer Maintenance Superintendent	4
Sewer Maintenance Supervisor	4
Wastewater Treatment Plant Operator Supervisor	4

Survey/Mapping and Property Management Division

Assistant Deputy Director, Public Works	1, 2, 3
Assistant Chief, Mapping and Property Management, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Principal Real Property Agent	1, 2, 3
Senior Real Property Agent	1, 2, 3
Real Property Agent II	1
Real Property Agent I	1
Senior Civil Engineer	1, 4
Supervising Cadastral Engineer III	1, 4
Supervising Valuation Engineer II	1, 4
Supervising Valuation Engineer I	1, 4
Valuation Engineer II	1, 4
Head, Real Estate, Public Works	1, 2, 3
Supervising Title Examiner III	1, 4
Civil Engineer	1, 4

EXHIBIT "B"

	T
Supervising Cadastral Engineer II	1, 4
Supervising Field Engineer	1, 4
Field Engineer	1,4
Survey Supervisor I (Office Operations, Unit 8082, Records Survey, Unit 8083, Dam	
Studies/Vertical Control, and Unit 8091, Field Support)	1, 4
Supervising Cadastral Engineer I	1, 4

Traffic and Lighting Division

<u>Title</u> <u>Category(ies)</u>

A ' · · · D · · · D' · · · D II' W I	1.2.2
Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer (All Sections)	1, 5
Associate Civil Engineer, (All Sections)	1, 5
Civil Engineer (All Sections)	1, 5

Water Resources Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 4, 5
Civil Engineer	1, 4, 5

Watershed Management Division

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 5
Associate Civil Engineer	1, 5

PUBLIC WORKS, DEPARTMENT OF EXHIBIT "B"

Waterworks Division

<u>Title</u> <u>Category(ies)</u>

Assistant Deputy Director, Public Works	1, 2, 3
Principal Engineer	1, 2, 3
Senior Civil Engineer	1, 2, 3
Civil Engineer	1, 2, 3
Regional Water Service Superintendent	4
Water Service Supervisor	4
Senior Water Service Worker	4
Electro-Mechanic Supervisor	4
Electro-Mechanic Working Supervisor	4
Electro-Mechanic	4

EFFECTIVE:

Conflict of Interest Code of the

PUENTE HILLS HABITAT PRESERVATION AUTHORITY

Incorporation of FPPC Regulation 18730 (2 California Code of Regulations, Section 18730) by Reference

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730), which contains the terms of a standard conflict of interest code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated into the conflict of interest code of this agency by reference. This regulation and the attached Appendices (or Exhibits) designating officials and employees and establishing economic disclosure categories shall constitute the conflict of interest code of this agency.

Place of Filing of Statements of Economic Interests

All officials and employees required to submit a statement of economic interests shall file their statements with the agency head, or his or her designee. The agency shall make and retain a copy of all statements filed by its Board Members, Governing Board Members, Alternate Board Members, as appropriate, and its agency head (Agency/Department Head, Executive Officer or Chief Executive Officer, Superintendent, or Director), and forward the originals of such statement to the Executive Office of the Board of Supervisors of Los Angeles County.

The agency shall retain the originals of statements for all other Designated Positions named in the agency's conflict of interest code. All retained statements, original or copied, shall be available for public inspection and reproduction (Gov. Code Section 81008).

PUENTE HILLS HABITAT PRESERVATION AUTHORITY

Resolution No. 00-4

Conflict of Interest and Disclosure Code

APPENDIX "A"

DEFINITIONS

Jurisdiction: refers to the land within the Whittier Hills, which is the area boarded by the 605 Freeway on the west, the 60 Freeway on the north, Hacienda Boulevard on the east, and Whittier Boulevard on the south.

DESIGNATED POSITION

Board Directors

1,2,3

Executive Director

1,2,3

Consultants*

4

GENERAL PROVISIONS

When a designated employee is required to disclose investments and sources of income, he/she need only disclose investments in business entities and sources of income which do business in the jurisdiction, plan to do business in the jurisdiction, or have done business in the jurisdiction within the past two years. In addition to other activities, a business entity is doing business within the jurisdiction if it owns real property within the jurisdiction.

Designated employees shall disclose their financial interest pursuant to the appropriate disclosure category as indicated herein.

DISCLOSURE CATEGORIES

Category 1: All interests in real property within the jurisdiction.

Category 2: All investments and business positions in business entities, and income from

any source which engages in land development, construction or the acquisition,

sale or lease of real property within the defined jurisdiction.

Category 3: All investments and business positions in business entities and sources of

income of the type utilized by the Puente Hills Habitat Preservation Authority to

provide services, supplies or materials.

PUENTE HILLS HABITAT PRESERVATION AUTHORITY RESOLUTION NO. 00-4

CONFLICT OF INTEREST AND DISCLOSURE CODE

APPENDIX "A" (Continued)

Category 4:

Individuals who perform under contract the duties of any designated position shall be required to file Statements of Economic Interest disclosing reportable interest in the categories assigned to that designated position. In addition, individuals who, under contract, participate in decisions which affect financial interests by providing information, advice, recommendation or counsel to the agency which could affect financial interests shall be required to file Statements of Economic Interests, unless they fall within the Political Reform Act's exceptions to the definition of consultant. The level of disclosure shall be as determined by the executive officer (or head) of the agency.

The Executive Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

EFFECTIVE:

^{*} Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation: